

04-09-01

PTO/SB/29 (10-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL

Submit an original, and a duplicate for fee processing.
(Only for Continuation or Divisional applications under 37 CFR 1.53(d))

CHECK BOX if applicable:
[] DUPLICATE

Address to: Assistant Commissioner for Patents
Box CPA
Washington, D. C. 20231

Attorney Docket No. of Prior Application 2571 USA/TCG/SPC/LE

First Named Inventor Narwankar et al.

Examiner Name Anh D. Mai

Group Art Unit 2814

Express Mail Label No. EL 431 882 346 US

This is a request for a [☒] continuation or [] divisional under 37 CFR 1.53(d)

(continuation prosecution application (CPA)) of prior application No: 09/096,858

filed on June 12, 1998, entitled METHOD AND APPARATUS FOR THE FORMATION OF
DIELECTRIC LAYERS

If the Patent and Trademark Office determines that this request under 37 CFR 1.53(d) is improper, the Office is requested to treat this CPA as a request for continued examination of the above identified application under 37 CFR 1.114.

NOTES

FILING QUALIFICATIONS: The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. Effective May 29, 2000, a CPA may only be filed in a utility or a plant application if the prior nonprovisional application was filed before May 29, 2000. A CPA may be filed in a design application regardless of the filing date of the prior application. See "Request for Continued Examination Practice changes to and Provisional Application practice," Final rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. office (Apr. 11, 2000).

C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a).

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

1. ☐ Enter the unentered amendment previously filed on _____ under 37 CFR 1.116 in the prior nonprovisional application.
2. ☒ A preliminary amendment is enclosed.
3. ☐ This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
 - a. ☐ DELETE the following inventor(s) named in the prior nonprovisional application:

 - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
 04/10/2001 GTEFFERA 00000040 09096858
5. ☐ Information Disclosure Statement (IDS) is enclosed:

a. <input type="checkbox"/> PTO - 1449	01 FC:131	710.00 OP
b. <input type="checkbox"/> Copies of IDS Citations	02 FC:103	216.00 OP
	03 FC:102	80.00 OP

04/10/2001 GTEFFERA 00000041 09096858

01 FC:116

290.00 OP

12/07/00

CLAIMS

(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
Total Claims (37 CFR 1.16(c) or (j))	<u>32</u> - <u>20*</u> =	<u>12</u>	X <u>\$18.00</u> =	<u>\$ 216.00</u>
Independent Claims (37 CFR 1.16(b) or (j))	<u>4</u> - <u>3**</u> =	<u>1</u>	X <u>\$80.00</u> =	<u>\$ 80.00</u>
Multiple Dependent Claims (if applicable) (37 CFR 1.16(d))			+ <u>\$270.00</u> =	<u>\$</u>
			BASIC FEE (37 CFR 1.16(a))	<u>\$ 710.00</u>
Total of above Calculations				= <u>\$ 1,006.00</u>
Reduction by 50% for filing by small entity (Note 37 CFR 1.27).				<u>\$</u>

* Reissue claims in excess of 20 and over original patent.

** Reissue independent claims over original patent.

TOTAL = \$ 1,006.00

6. Small entity status: Applicant claims small entity status. See 37 CFR 1.27.
7. X The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. .
- a. X Fees required under 37 CFR 1.16.
- b. X Fees required under 37 CFR 1.17.
- c. Fees required under 37 CFR 1.18.
8. X A check in the amount of \$ 1,006.00 is enclosed.
9. Payment by credit card. Form PTO-2038 is attached.
10. Applicant requests suspension of action under 37 CFR 1.103(b) for a period of months (not to exceed 3 months) and the fee under 37 CFR 1.17(i) is enclosed.
11. New Attorney Docket Number, if desired
(Prior application Attorney Docket Number will carryover to this CPA unless a new Attorney Docket Number has been provided herein.)
12. a. Receipt for Facsimile Transmitted CPA (PTO/SB/29A)
- b. X Return Receipt Postcard (Should be specially itemized, See MPEP 503)
13. **Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. (Reminder: First investigate foreign filing question).**
14. X Other: Two Month Extension of Time, Express Mail Certificate of Mailing

NOTE: The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below.**14. NEW CORRESPONDENCE ADDRESS**

 Customer Number or Bar Code Label
OR
 (Insert Customer No. or Attach Bar Code Label here)

 New Correspondence Address Below

NAME Michael A. Bernadicou

APPLIED MATERIALS

ADDRESS PATENT COUNSEL, LEGAL AFFAIRS DEPARTMENT

P.O. BOX 450A

CITY SANTA CLARA STATE California ZIP CODE 95052

COUNTRY USA TELEPHONE (408) 720-8300 FAX (408) 720-9397

15. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME Michael A. Bernadicou SIGNATURE *M. Bernadicou*

REGISTRATION NO. 35,934 DATE 4/6/01



#14 B
4/12/01
arrw

Attorney's Docket No.: 2571 USA/TCG/SPC/LE

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:)
Narwankar et al.) Examiner: Mai, A.
Application No: 09/096,858) Art Unit: 2814
Filed: June 12, 1998)
For: METHOD AND APPARTUS)
FOR THE FORMATION OF)
DIELECTRIC LAYERS)

RECEIVED
APR 11 2001
TECHNOLOGY CENTER 2800

Assistant Commissioner
for Patents
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Sir:

In response to the Office Action mailed November 6, 2000 which was made final, Applicant respectfully requests the Examiner to enter the enclosed preliminary amendment prior to examination of the above referenced application.

"Express Mail" mailing label number: EL 431 882 346 US

Date of Deposit: April 6, 2001

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

Teresa Edwards

(Typed or printed name of person mailing paper or fee)

Teresa Edwards

(Signature of person mailing paper or fee)

April 6, 2001

(Date signed)